

# OHIO RETIREMENT SYSTEMS

## Ohio-Qualified Agents and Minority Business Enterprises

For the Reporting Period July 1, 2019 to June 30, 2020

|    | Agent / Brokerage Firm             | Contact Name      | Minority Business Enterprise? |
|----|------------------------------------|-------------------|-------------------------------|
| 1  | Barclay's Capital LLC              | Matthew Eisenberg | No                            |
| 2  | Bay Crest Partners, LLC            | Lisa Carbonara    | No                            |
| 2  | BofAML Securities                  | Michael York      | No                            |
| 3  | Citigroup Global Markets Inc.      | Tom Sandt         | No                            |
| 4  | Cowen and Company, LLC             | Kevin Reilly      | No                            |
| 5  | INTL FCStone Inc                   | Matthew Kirstein  | No                            |
| 6  | Janney Montgomery Scott, LLC       | Christina Nagy    | No                            |
| 7  | KeyBanc Capital Markets Inc.       | Julie Andress     | No                            |
| 8  | Morgan Stanley & Co. Incorporated  | Dean Webber       | No                            |
| 9  | National Financial Services LLC    | Peter Cimini      | No                            |
| 10 | Oppenheimer & Co.                  | Peter Giordano    | No                            |
| 11 | Piper Jaffray & Co.                | Debra Anthony     | No                            |
| 12 | PNC Capital Markets, LLC           | Phil Lefkowitz    | No                            |
| 13 | Raymond James & Associates         | John Soto         | No                            |
| 14 | RBC Capital Markets                | Scott Van Velson  | No                            |
| 15 | Rober W. Baird & Co., Incorporated | Chad Johnson      | No                            |
| 16 | South Street Securities LLC        | Stephanie Pucci   | No                            |
| 17 | Stifel, Nicolaus & Company Inc.    | Bruce Frankenberg | No                            |
| 18 | Wells Fargo Securities, LLC        | Neal Desai        | No                            |